

Docket No. 196880US2SRD/jmh

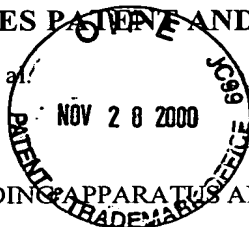
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Katsuyuki NAITO, et al.

SERIAL NO: 09/652,010

FILED: August 31, 2000

FOR: RECORDING MEDIUM, RECORDING APPARATUS AND RECORDING METHOD



GAU: 2651

EXAMINER:

43

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

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REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

Joseph A. Scafetta, Jr.
Registration No. 26,803



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

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Sheet 1 of 1

Group Art Unit: 2651

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STATEMENT OF RELEVANCY

Reference AO (JP 2-125264) on Form 1449:

This reference discloses a structure of electrode/photoconductor/tunneling barrier/dielectric. Electric charges are started at an interface between the tunneling barrier and the dielectric.

Reference AP (JP 2-127649) on Form 1449:

This reference discloses a structure of electrode/dielectric/tunneling barrier/photoconductor. Electric charges are also started at the interface.

Reference AQ (JP 2-250473) on Form 1449:

This reference discloses a structure of carrier transport layer/dielectric containing photoconductor.

Reference AR (JP 8-045122) on Form 1449:

This reference discloses molecular dot memory where electric carriers are held in the dots. No photoconductor is used.

Reference AS (JP 7-254153) on Form 1449:

This reference discloses high density recording media using organic phase-change. Electric charges are not stored.

Reference AW on Form 1449:

This reference shows a high-density charge trapping memory using thin films of organic photoconductor. Charges are stored in the photoconducting films.

Reference AX on Form 1449:

This reference shows near-field magneto-optics and high density data storage. Photoconducting films are not used.



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	09/028,477	02/24/98	UNKNOWN
PER CLIENT	09/265,885	03/11/99	UNKNOWN
0039-5282-0 SRD	5,675,532	10/07/97	GEMMA et al.
0039-7336-0 SRD	09/386,167	08/31/99	PENDING
196880US2 SRD*	09/652,010	08/31/00	PENDING

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*Present application; listed for information.